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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/690,243
				Filing Date	October 20, 2003
				First Named Inventor	Michael Frederick KENRICH
				Art Unit	2132
				Examiner Name	Farid HOMAYOUNMEHR
				Attorney Docket Number	2222.5460000
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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/FH/	US1	4,203,166	05-13-1980	Eshram et al.	
	US2	4,734,568	03-29-1988	Watanabe	
	US3	4,757,533	07-12-1988	Allen et al.	
	US4	4,796,220	01-01-1989	Wolfe	
	US5	4,799,258	01-17-1989	Davies	
	US6	4,827,508	05-02-1989	Shear	
	US7	4,888,800	12-19-1989	Marshall et al.	
	US8	4,972,472	11-20-1990	Brown et al.	
	US9	5,032,979	07-16-1991	Hecht et al.	
	US10	5,052,040	09-24-1991	Preston et al.	
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	US14	5,220,657	06-15-1993	Bly et al.	
	US15	5,247,575	09-21-1993	Sprague et al.	
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		Country Code ² Number ³ Kind Code ⁴ (if known)				
/FH/	FP1	EP 0 674 253 A1	09-27-1995	Kabushiki Kaisha Toshiba		
	FP2	EP 0 809 170 A1	11-26-1997	NCR International Inc.		
	FP3	EP 0 913 966 A2	05-06-1999	Sun Microsystems, Inc.		
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	FP5	EP 0 950 941 A3	10-20-1999	Fujitsu Limited		
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	FP7	EP 1 154 348 A2	11-14-2001	Matsushita Electronics Corp.		
	FP8	EP 1 107504 A2	06-13-2001	Benayoun et al.		
	FP9	EP 0 672 991 A2	09-20-1995	Narasimhalu et al.		

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		Number-Kind Code ² (if known)			
↓ /FH/	US21	5,404,404	04-01-1995	Novorita	
	US22	5,406,628	04-11-1995	Beller et al.	
	US23	5,414,852	05-09-1995	Kramer et al.	
	US24	5,495,533	02-27-1996	Linehan et al.	
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	US28	5,570,108	10-29-1996	McLaughlin et al.	
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	FP11	JP 2001-036517	02-09-2001	Lucent Technol, Inc.		
	FP12	WO 00/56028 A2	09-21-2000	Texas Software Corp.		
	FP13	WO 01/61438 A2	08-23-2001	Permabit, Inc.		
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	FP15	WO 01/63387 A3	08-30-2001	Visualgold.com, Inc.		
	FP16	WO 01/78285 A1	10-18-2001	Mediadna, Inc.		
	FP17	WO 01/84271 A2	11-08-2001	Certia, Inc.		
	FP18					

Examiner Signature	/Farid Homayounmehr/	Date Considered	01/02/2008
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Sheet	3	of	16	Attorney Docket Number	2222.5460000

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	US43	5,745,573	04-28-1998	Lipner et al.	
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	US46	5,757,920	05-26-1998	Misra et al.	
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	US58	5,870,468	02-09-1999	Harrison	
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	FP19					
	FP20					
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	FP26					
	FP27					

Examiner Signature	/Farid Homayounmehr/	Date Considered	01/02/2008
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		US74	6,038,322	03-14-2000	Harkins	
		US75	6,044,155	03-28-2000	Thomlinson et al.	
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	US79	6,088,717	07-11-2000	Reed et al.		
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	FP28					
	FP29					
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	FP36					

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Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/690,243
		Filing Date	October 20, 2003
		First Named Inventor	Michael Frederick KENRICH
		Art Unit	2132
		Examiner Name	Farid HOMAYOUNMEHR
		Attorney Docket Number	2222.5460000
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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
/FH/	US121	6,389,538 B1	05-14-2002	Gruse et al.	
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	US123	6,421,714 B1	07-16-2007	Rai et al.	
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	FP55					
	FP56					
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	FP58					
	FP59					
	FP60					
	FP61					
	FP62					
	FP63					

Examiner Signature	/Farid Homayounmehr/	Date Considered	01/02/2008
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				Art Unit	2132
				Examiner Name	Farid HOMAYOUNMEHR
				Attorney Docket Number	2222.5460000
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	FP64					
	FP65					
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	FP67					
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	FP69					
	FP70					
	FP71					
	FP72					

Examiner Signature	/Farid Homayounmehr/	Date Considered	01/02/2008
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				Art Unit	2132
				Examiner Name	Farid HOMAYOUNMEHR
				Attorney Docket Number	2222.5460000
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	FP73				
	FP74				
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	FP80				
	FP81				

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Sheet 10 of 16				Filing Date	October 20, 2003
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	FP82				
	FP83				
	FP84				
	FP85				
	FP86				
	FP87				
	FP88				
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	FP90				

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Application Number	10/690,243
				Filing Date	October 20, 2003
				First Named Inventor	Michael Frederick KENRICH
				Art Unit	2132
				Examiner Name	Farid HOMAYOUNMEHR
				Attorney Docket Number	2222.5460000
Sheet	13	of	16		

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↓ /FH/	US241	2002/0069077 A1	06-06-2002	Brophy et al.	
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↓	/EH/	US281	2004/0025037 A1	Hair	
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/FH/	NPL1	Expiration Mechanism for Chipcards, IBM Technical Disclosure Bulletin, October 1, 2001, UK	
	NPL2	McDANIEL et al. "Antigone: A Flexible Framework for Secure Group Communication," Proceedings of the 8th USENIX Security Symposium, August 23, 1999	
	NPL3	STALLINGS, William, "Cryptography and Network Security: Principles and Practice," 1999, pp. 333-37, Second Edition, Prentice Hall, Upper Saddle River, New Jersey.	
	NPL4	"Affect," The American Heritage Dictionary of the English Language, Fourth Edition, Houghton Mifflin Company, 2002. Retrieved May 4, 2006 from http://dictionary.reference.com/search?q=affect	
	NPL5	"Inside Encrypting file system," Part 1, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL6	"Inside Encrypting file system," Part 2, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL7	"Security with Encrypting File System," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL8	"How EFS work," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL9	"Encrypting File System," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
↓	NPL10	"Features of EFS" from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	

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/FH/	NPL11	" Windows 2000 EFS" in the April 1999 issue of Windows NT magazine	
	NPL12	Microsoft Windows 200 server. Windows 2000 Group Policy White Paper, 2000	
	NPL13	Symantec. Norton Antivirus Corporate Edition Implementation Guide, 1999.	
	NPL14	Crocker, Steven Toye, "Multi-level cryptographic transformations for securing digital assets," U.S. Patent Applicatio No. 10/404,566, filed 03/31/2003.	
	NPL15	Crocker, Steven Toye, "Effectuating access policy changes to designated places for secured files," U.S. Patent Application No. 10/259,075, filed 09/27/2002.	
	NPL16	Kenrich, Michael Frederick, "Multi-Level File Digest", U.S. Patent Application No. 10/894,493, filed 07/19/2004	
	NPL17	Kinghorn, Gary Mark, "Method and system for protecting electronic data in enterprise environment," U.S. Patent Application No. 10/159,220, filed 05/31/2002	
	NPL18	Nath, Satyajit, "Method and system for securing digital assets using content type designations," U.S. Patent Application No. 10/405,587, filed 04/01/2003.	
	NPL19	Prakash, Nalini J., "Method and apparatus for securing/unsecuring files by file crawling," U.S. Patent Application No. 10/325,102, filed 12/20/2002	
↓	NPL20	Rossmann, Alain, "Hybrid systems for securing digital assets," U.S. Patent Application No. 10/325,013, filed 12/20/2002.	

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/FH	NPL21	A Real-Time Push-Pull Communications Model for Distributed Real-Time and Multimedia Systems, January 1999, School of Computer Sciences Carnegie Mellon University, Kanaka Juvva, Raj Rajkumar.	
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	NPL27	U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network " inventor Ryan, September 11, 2002, 33 pgs.	
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✓	NPL30	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files " inventor Ryan, May 30, 2003, 35 pgs.	

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/FH/	NPL31	U.S. patent application, Ser No. 10/074,194, entitled "Methods for identifying compounds that inhibit or reduce PTP1B expressions" inventor Rondinone, February 12, 2002, 69 pgs.	
	NPL32	U.S. patent application, Ser No. 10/074,804, entitled "Secured Data Format for Access Control," inventor Garcia, February 12, 2002, 108 pgs.	
	NPL33	U.S. patent application, Ser No. 10/075,194, entitled "System and Method for Providing Multi-location Access Management to Secured Items," inventor Vainstein et al., February 12, 2002, 110 pgs.	
	NPL34	U.S. patent application, Ser No. 10/074,996, entitled "Method and Apparatus for Securing Electronic Data," inventor Lee et al., February 12, 2002, 111 pgs.	
	NPL35	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.	
	NPL36	U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.	
	NPL37	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.	
	NPL38	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.	
	NPL39	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.	
↓	NPL40	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefor," inventor Hildebrand, September 17, 2002, 78 pgs.	

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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/690,243
				Filing Date	October 20, 2003
				First Named Inventor	Michael Frederick KENRICH
				Art Unit	2132
				Examiner Name	Farid HOMAYOUNMEHR
Sheet	5	of	6	Attorney Docket Number	2222.5460000

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/FH/	NPL41	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.	
	NPL42	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.	
	NPL43	U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Therefor," inventor Vainstein, November 15, 2002, 70 pgs.	
	NPL44	U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.	
	NPL45	U.S. patent application, Ser No. 11/797,367, entitled "Method and System for Managing Security Tiers," inventor Vainstein, May 2, 2007, 11 pgs.	
	NPL46	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.	
	NPL47	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.	
	NPL48	"Security Options". December 20, 2001. DC & Co. pages 1-2	
	NPL49	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.	
↓	NPL50	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.	

Examiner Signature	/Farid Homayounmehr/	Date Considered	01/02/2008
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/690,243
				Filing Date	October 20, 2003
				First Named Inventor	Michael Frederick KENRICH
				Art Unit	2132
				Examiner Name	Farid HOMAYOUNMEHR
Sheet	6	of	6	Attorney Docket Number	2222.5460000

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/FH/	NPL51	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.	
	NPL52	Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.	
	NPL53	Search Report, completion date March 16, 2005, for European Patent Application No. EP 02 25 8534, 2 pages.	
	NPL54	Search Report, completion date March 2, 2005, for European Patent Application No. EP 02 25 8535, 2 pages.	
	NPL55	Search Report, completion date March 3, 2005, for European Patent Application No. EP 02 25 8537, 2 pages.	
	NPL56	Search Report, completion date May 12, 2005, for European Patent Application No. EP 02 25 8539, 2 pages.	
	NPL57	Search Report, completion date July 6, 2005, for European Patent Application No. EP 02 25 8529, 4 pages.	
	NPL58	Search Report, completion date October 8, 2003, for European Patent Application No. EP 02 25 8536, 2 pages.	
↓	NPL59	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8540, 2 pages.	
	NPL60		

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